



FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 09/923,223		ATTY. DOCKET NO.: C01104/70076	
				FILING DATE: August 6, 2001			
				APPLICANT: Kevin J. Dowling, et al.			
				GROUP ART UNIT: 2811 1744		EXAMINER: Unassigned McKane	
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		Number	Kind Code		
EM		US 2001/0048598	A1	Fang, et al.	12/06/2001

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		Office/ Country	Number	Kind Code			

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Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
EM		S. DenBaars, Site: Nichia Chemicals Industries Ltd.; Information from website www.ttec.org/hte_j/sitrep/nci.htm , pp. 1-4 , prior art.	
EM		High Power Violet Laser Diode, Specifications of NLHV3000M Laser Diode; Information from website www.nichia.co.jp/vlaser3000-e.htm , pp. 1-4 , prior art.	
EM		EPA Guidance Manual, 815-R-99-014, (April 1999), Chapter 8; Information from website www.epa.gov/safewater/mdbp/mdbptg.html/#disinfect , pp. 8-1 to 8-25	
EM		Light-Emitting Diodes for Plant Growth; Information from website http://technology.ksc.nasa.gov/WWWaccess/techreports/94report/lstf/lst04.html , pp. 1, prior art.	
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EXAMINER Elizabeth L McKane	<small>Digitally signed by Elizabeth L McKane DN: cn=Elizabeth L McKane, c=US, o=1700, ou=1744, email=elizabeth.mckane@uspto.gov Date: 2006.07.28 10:19:50 -04'00'</small>	DATE CONSIDERED 07/28/2006
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if ~~07/28/2006~~ submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]



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		APPLICANT: Kevin J. Dowling, et al.			
		GROUP ART UNIT: 2011 1744		EXAMINER: Unassigned McKane	
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EXAMINER Elizabeth L McKane	<small>Digitally signed by Elizabeth L McKane DN: cn=Elizabeth L McKane, c=US, o=1700, ou=1744, email=elizabeth.mckane@uspto.gov Date: 2006.07.28 10:23:04 -0400</small>	DATE CONSIDERED 07/28/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: August 6, 2001	CONFIRMATION NO.: 8966
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 2811 1744	EXAMINER: Not Yet Assigned McKane
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		International Search Report from PCT Application PCT/US01/41369	

EXAMINER Elizabeth L McKane	Digitally signed by Elizabeth L McKane DN: cn=Elizabeth L McKane, c=US, o=1700, ou=1744, email=elizabeth.mckane@uspto.gov Date: 2006.07.28 10:16:13 -04'00'	DATE CONSIDERED 07/28/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: August 6, 2001	
		APPLICANT: Kevin J. Dowling, et al.	
Sheet 5	7	GROUP ART UNIT: 2011 1744	EXAMINER: Not Yet Assigned McKane

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Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
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		* Newnes's Dictionary of Electronics, Fourth Edition, S.W. Amos, et al., Preface to First Edition, pp. 278-279		
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EXAMINER Elizabeth L McKane	<small>Digitally signed by Elizabeth L McKane DN: cn=Elizabeth L McKane, c=US, o=1700, ou=1744, email=elizabeth.mckane@uspto.gov Date: 2006.07.28 10:13:59 -04'00'</small>	DATE CONSIDERED 07/28/2006
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* a copy of this reference is not provided as it was previously cited by or submitted to the office in prior applications:

Serial No. 09/669,121, filed September 25, 2000;
 Serial No. 09/425,770, filed October 22, 1999;
 Serial No. 08/920,156, filed August 26, 1997;
 Serial No. 09/213,540, filed December 17, 1998;
 Serial No. 09/213,581, filed December 17, 1998;
 Serial No. 09/213,548, filed December 17, 1998;
 Serial No. 09/815,418, filed March 22, 2001;
 Serial No. 09/213,607, filed December 17, 1998;

Serial No. 09/215,624, filed December 17, 1998;
 Serial No. 09/333,739, filed June 15, 1999;
 Serial No. 09/742,017, filed December 20, 2000;
 Serial No. 09/213,659, filed December 17, 1998;
 Serial No. 09/213,189, filed December 17, 1998;
 Serial No. 09/626,905, filed July 27, 2000;
 Serial No. 09/213,537, filed December 17, 1998;

which are relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/923,223	ATTY. DOCKET NO.: C01104/70076
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: August 6, 2001	
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 2811 1744	EXAMINER: Not Yet Assigned McKane
Sheet 1 of 1	JAN 09 2003 PATENT & TRADEMARK OFFICE		

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
EM		5,634,711		Kennedy, et al.	06/03/1997

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

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FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 09/923,223		ATTY. DOCKET NO.: C1104.70076US00	
				FILING DATE: August 6, 2001		CONFIRMATION NO.: 8966	
				APPLICANT: Kevin J. Dowling et al.			
				GROUP ART UNIT: 1744		EXAMINER: Elizabeth L. McKane	
Sheet	1	of	1				

U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		

FOREIGN PATENT DOCUMENTS

Examiner's Initials #	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
EM		JP	11087774	A		03/30/1999	Abstract Only

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

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